

FACSIMILE COVER SHEET

RECEIVED
CENTRAL FAX CENTER

JUL 15 2008

The Law Offices of
STRAUB & POKOTYLO

788 Shrewsbury Ave.
Tinton Falls, NJ 07724

Telephone: 732-936-1400
Facsimile: 732-936-1401
Internet site: www.sp-ip.com

To: U.S. Patent and Trademark Office

Facsimile No.: (571) 273-8300

Telephone No.: _____

From: Michael P. Straub, Esq.

Date: July 15, 2008

Number of Pages Including Cover: 34

MESSAGE: FORMAL SUBMISSION OF:
1) Fee transmittal (in duplicate); and
2) Amendment.

Attorney Docket No.: Verizon-37 (03-1510)
Appl. No.: 10/679,222
Applicant: Gaston S. Ormazabal
Filed: October 3, 2003
Title: SECURITY MANAGEMENT SYSTEM FOR MONITORING FIREWALL OPERATION
TC/A.U.: 2136
Examiner: Carlton Johnson

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (and any accompanying paper(s))
is being facsimile transmitted to the United States Patents and
Trademark Office on the date shown below.

Michael P. Straub

Type or print name of person signing certification

Michael P. Straub

Signature

July 15, 2008

Date

JUL 15 2008

Modified PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2007**

Effective 09/30/2007. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

Complete If Known

| | |
|----------------------|----------------------|
| Application Number | 10/679,222 |
| Filing Date | October 3, 2003 |
| First Named Inventor | Gaston S. Ormazabal |
| Examiner Name | Carlton Johnson |
| Art Unit | 2136 |
| Attorney Docket No. | Verizon-37 (03-1510) |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number 50-1049

Deposit Account Name Straub & Pokotylo

The Commissioner is authorized to: (check all that apply)

☒ Charge any fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) due in connection with the filing submitted herewith☐ Charge fee(s) indicated below, except for the filing fee in the to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING, SEARCH & EXAMINATION FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|-----------------|-----------|
| 1030 | 515 | Utility fee | |
| 440 | 220 | Design fee | |
| 680 | 340 | Plant fee | |
| 1440 | 720 | Reissue fee | |
| 210 | 105 | Provisional fee | |
| SUBTOTAL (1) | | | (\$ 0.00) |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| | -32** = | 50.00 | |
| Independent Claims | -6** = | 210.00 | |
| Multiple Dependent | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|---|
| 1202 50 | 2202 25 | Claims in excess of 20 |
| 1201 210 | 2201 105 | Independent claims in excess of 3 |
| 1203 370 | 2203 185 | Multiple dependent claim, if not paid |
| 1204 210 | 2204 105 | **Reissue independent claims over original patent |
| 1205 50 | 2205 25 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0.00)

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|---|----------------------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 120 | 2251 60 | Extension for reply within first month | |
| 1252 460 | 2252 230 | Extension for reply within second month | |
| 1253 1,050 | 2253 525 | Extension for reply within third month | |
| 1254 1,640 | 2254 820 | Extension for reply within fourth month | |
| 1255 2,230 | 2255 1,115 | Extension for reply within fifth month | |
| 1401 510 | 2401 255 | Notice of Appeal | |
| 1402 510 | 2402 255 | Filing a brief in support of an appeal | |
| 1403 1,030 | 2403 515 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to Institute a public use proceeding | |
| 1452 510 | 2452 255 | Petition to revive - unavoidable | |
| 1453 1,540 | 2453 770 | Petition to revive - unintentional | |
| 1501 1,440 | 2501 720 | Utility issue fee (or reissue) | |
| 1502 820 | 2502 410 | Design issue fee | |
| 1503 1,130 | 2503 565 | Plant issue fee | |
| Petitions to the Commissioner - check fee sheet | | | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(c) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 810 | 2809 405 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 810 | 2810 405 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 810 | 2801 405 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

SUBMITTED BY

Name (Print/Type) Michael P. Straub

Registration No. (Attorney/Agent)

36,941

Telephone (732) 936-1400

Signature

Michael P. Straub

Date July 15, 2008

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

JUL 15 2008

Modified PTO/SB/17 (01-03)

Approved for use through 04/30/2003, OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2007**

Effective 09/30/2007. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

Complete if Known

| | |
|----------------------|----------------------|
| Application Number | 10/679,222 |
| Filing Date | October 3, 2003 |
| First Named Inventor | Gaston S. Ormazabal |
| Examiner Name | Carlton Johnson |
| Art Unit | 2136 |
| Attorney Docket No. | Verizon-37 (03-1510) |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit Account Number
Deposit Account Name

50-1049

Straub & Pokotylo

The Commissioner is authorized to: (check all that apply)

☒ Charge any fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) due in connection with the filing submitted herewith
☐ Charge fee(s) indicated below, except for the filing fee in the to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING, SEARCH & EXAMINATION FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|-----------------|-----------|
| 1030 | 515 | Utility fee | |
| 440 | 220 | Design fee | |
| 680 | 340 | Plant fee | |
| 1440 | 720 | Reissue fee | |
| 210 | 105 | Provisional fee | |
| SUBTOTAL (1) | | | (\$ 0.00) |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | | | | | | |
|--------------------|--|---------|--|---|--------|---|--|
| Total Claims | | -32** = | | X | 50.00 | = | |
| Independent Claims | | -8** = | | X | 210.00 | = | |
| Multiple Dependent | | | | | | = | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|---|
| 1202 50 | 2202 25 | Claims in excess of 20 |
| 1201 210 | 2201 105 | Independent claims in excess of 3 |
| 1203 370 | 2203 185 | Multiple dependent claim, if not paid |
| 1204 210 | 2204 105 | **Reissue independent claims over original patent |
| 1205 50 | 2205 25 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0.00)

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|---|----------------------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 120 | 2251 60 | Extension for reply within first month | |
| 1252 460 | 2252 230 | Extension for reply within second month | |
| 1253 1,050 | 2253 525 | Extension for reply within third month | |
| 1254 1,840 | 2254 920 | Extension for reply within fourth month | |
| 1255 2,230 | 2255 1,115 | Extension for reply within fifth month | |
| 1401 510 | 2401 255 | Notice of Appeal | |
| 1402 510 | 2402 255 | Filing a brief in support of an appeal | |
| 1403 1,030 | 2403 515 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 510 | 2452 255 | Petition to revive - unavoidable | |
| 1453 1,540 | 2453 770 | Petition to revive - unintentional | |
| 1501 1,440 | 2501 720 | Utility issue fee (or reissue) | |
| 1502 820 | 2502 410 | Design issue fee | |
| 1503 1,130 | 2503 565 | Plant issue fee | |
| Petitions to the Commissioner - check fee sheet | | | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(c) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 810 | 2809 405 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 810 | 2810 405 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 810 | 2801 405 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

SUBMITTED BY

| | | | | | |
|-------------------|--------------------------|-----------------------------------|---------------|-----------|----------------|
| Name (Print/Type) | Michael P. Straub | Registration No. (Attorney/Agent) | 36,941 | Telephone | (732) 936-1400 |
| Signature | <i>Michael P. Straub</i> | Date | July 15, 2008 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

RECEIVED
CENTRAL FAX CENTER

JUL 15 2008

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Attorney Docket No.: **Verizon-37 (03-1510)**

Appl. No.: **10/679,222**

Applicants: **Gaston S. Ormazabal**

Filed: **October 3, 2003**

TC/A.U.: **2862**

Examiner: **Carlton Johnson**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Sir:

In response to the **non-final** Office Action dated .
April 29, 2008, please amend the above-identified
application as follows:

Amendments to the Claims are reflected in the listing
of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 14 of this paper.